



UNITED STATES DEPARTMENT OF COMMERCE United States Paten( and Trademark Office Address COMMISSIONER FOR PATEATS P.O. Box 1450 Alexandra, Virgina 27313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET'NO.	CONFIRMATION NO.
10:539,992	04-12/2006	Masaharu KURODA	59150-8035	8318
79975	2599 04:17:2009		EXAMINER	
King & Spalding LLP P.O. Box 889			WORLEY, CATRY KINGDON	
Belmont, CA 94002-0889			ART UNIT	PAPER NUMBER
			1638	
				ALLINATION ASSESSED
			MAIL DATE	DELIVERY MODE
			04/17/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.







### UNITED STATES DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

FIRST NAMED INVENTOR / ATTORNEY DOCKET NO. **FILING DATE** APPLICATION NO./ PATENT IN REEXAMINATION CONTROL NO. 10539992 4/12/2006

KURODA, MASAHARU 59150-8035

King & Spalding LLP P.O. Box 889 Belmont, CA 94002-0889

**EXAMINER** CATHY K., WORLEY

**ART UNIT** PAPER

1638 1024

DATE MAILED

Please find below and/or attached an Office communication concerning this application or proceeding.

#### Commissioner for Patents

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 C.F.R. § 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 C.F.R. §§ 1.821-1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures. Applicant must comply with the requirements of the sequence rules (37 CFR 1.821 - 1.825) before the application can be examined under 35 U.S.C. §§ 131 and 132.

Figure 9 has 5 different nucleic acid sequences; and the description of figure 9 in the specification does not disclose the sequence identifiers for each of these sequences. Figure 14 (A) has a nucleic acid sequence and an amino acid sequence; and Figure 14 (B) has an amino acid sequence; and the brief description of figure 14 does not disclose the sequence identifiers for these sequences.

APPLICANT IS GIVEN ONE MONTH FROM THE DATE OF THIS LETTER WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 C.F.R.. §§ 1.821-1.825. Failure to comply with these requirements will result in AlBANDONMENT of the application under 37 C.F.R. § 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 C.F.R. § 1.136. In no case may an applicant extend the period for response beyond the six month statutory period. Direct the response to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the response.

The addresses below are effective 5 June 2004. Please direct all replies to the United States Patent and Trademark Office via one (1) of the following:

Electronically submitted through EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual - ePAVE)

Mailed to:

Mail Stop Sequence

Commissioner for Patents

P.O. Box 22313 1450

Alexandria, VA 22313 1450

Hand Carry, Federal Express, United Parcel Service or other delivery service to:

U.S. Patent and Trademark Office

Mail Stop Sequence

Customer Window

Randolph Building

401 Dulaney Street

#### Alexandria, VA 22314

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cathy K. Worley whose telephone number is (571) 272-8784. The examiner has a variable schedule but can normally be reached on M-F 10:00 - 4:00 with variable hours before 10:00 and after 4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anne Marie Grunberg, can be reached on (571) 272-0975. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Cathy K. Worley Primary Examiner Art Unit 1638

**CKW** 

/Cathy K. Worley/

PTO-90C (Rev.04-03)

Application No. 10539992	Applicant(s) KURODA, MASAHARI	Applicant(s) KURODA, MASAHARU		
Examiner	Art Unit			
CATHY K., WORLEY	1638			

## **Notice to Comply**

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

F. F.	55(4)J.
The req	e nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the uirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):
	1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
	2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
	3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
	4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
	5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
	6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
	7. Other:
Δn	plicant Must Provide:
	An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
	An initial or substitute paper copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application.
	A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).
Fo	r questions regarding compliance to these requirements, please contact:
	For Rules Interpretation, call (571) 272-0731 or (571) 272-0951 For CRF Submission Help, call (571) 272-2510 Patentln Software Program Support Technical Assistance.1-866-217-9197 or 703-305-3028 or 571-272-6845 Patentln Software is Available At www.USPTO.gov
PL	EASE RETURN A COPY OF THIS NOTICE WITH YOUR REPLY
	athy K. Worley/ mary Examiner, Art Unit 1638

U.S. Patent and Trademark Office Part of Paper No. 1024